

Atty Docket No. BOLT CIP
Applicant : Bolton et al
Serial No.:
Filed: Herewith
For: Methods For Applying Wear-Reducing Material To Tool Joints (herein the
"application")

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) AND 1.27 (c)) - SMALL BUSINESS CONCERN

I HEREBY declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

FULL NAME Bolton Alloys, L.C. ☒ SMALL BUSINESS CONCERN

ADDRESS 10211 Chevy Chase, Houston TX 77042

I HEREBY declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. for purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly, or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, by inventor(s) described in the application.

IF THE RIGHTS held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor(s), who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e):

NAME: NONE
ADDRESS:

I ACKNOWLEDGE the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b)). I hereby declare that all statements made herein of my own knowledge are true and that

true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME JIMMIE BROOKS BOLTON OF PERSON SIGNING:

TITLE OF PERSON: PRESIDENT

ADDRESS OF PERSON SIGNING:

10459 TWIN CIRCLES MONTGOMERY, TX 77356

SIGNATURE:

DATE:

Jimmie Brooks Bolton
1-22-02

For: Methods For Applying Wear-Reducing Material To Tool Joints

Under the provisions of 37 CFR 1.32, the undersigned assignee of the entire interest in the above-identified patent application by virtue of an assignment submitted concurrently herewith for recordation elects to conduct the prosecution of the application to the exclusion of the inventors. The assignee hereby revokes any previous powers of attorney and appoints the following to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

This appointment includes the right to appoint associates under an associate power of attorney executed by either of the above stated persons and applies to the same extent to any proceedings established by the Patent Cooperation Treaty.

Bolton Alloys, L.C.

name:

Date: 1-22-02

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For: Methods For Applying Wear-Reducing Material To Tool Joints (herein the
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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) AND 1.27 (b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention identified above and described in the application whose specification is filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention or application to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention or application is listed below:

[x] persons, concerns or organizations listed below

FULL NAME Bolton Alloys, L.C. [x] SMALL BUSINESS CONCERN

ADDRESS 10211 Chevy Chase, Houston TX 77042

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Jimmie Brooks Bolton
Name of Inventor

Jimmie Brooks Bolton
Signature

1-22-02
Date

Billi Marie Rogers
Name of Inventor

Billi Marie Rogers
Signature

1-22-02
Date

DECLARATION — PATENT APPLICATION

AS A BELOW NAMED INVENTOR, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe that the below named inventors are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought in the application on the invention entitled "Methods For Applying Wear-Reducing Material To Tool Joints", filed herewith. I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim the benefit under Title 35 United States Code § 120 of United States Application Ser. No.: 09/769,555 filed 1/25/01 and, insofar as any subject matter of any claim of this application is not disclosed in the prior United States Application, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

I HEREBY DIRECT that all correspondence and telephone calls be addressed to Guy L. McClung, III, PMB 347, 16690 Champion Forest Drive, Spring, Texas 77379-7023, Phone (281) 893 5244, Fax (281) 893 2163.

I HEREBY DECLARE that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name: Jimmie Brooks Bolton Date: 1-22-02
(First) (Middle) (Last)

Inventor's Signature: Jimmie Brooks Bolton
Country of Citizenship: U.S.

Residence Address: 10459 TWIN CIRCLES, MONTGOMERY TX 77356
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Post Office Address: 10459 TWIN CIRCLES, MONTGOMERY TX 77356
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Inventor's Full Name: Billi Marie Rogers
(First) (Middle) (Last)

Inventor's Signature: Billi Marie Rogers

Date: 1-22-02 Country of Citizenship: U.S.

Residence Address: 10211 Chevy Chase Dr U.S.A.
(Include number, street name, city, state, and country)
Houston TX 77042

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